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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shawn D. Abbott et al. Examiner: Not yet assigned  
Serial No.: 09/594,456 Group Art Unit: 2766  
Filed: June 15, 2000 Docket: G&C 30074.27-US-I1  
Title: USB-COMPLIANT PERSONAL KEY USING A SMARTCARD PROCESSOR AND A  
SMARTCARD READER EMULATOR

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on October 17, 2000.

By: Victor G. Cooper  
Name: Victor G. Cooper

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- ☒ Information Disclosure Statement and Form PTO-1449.
- ☒ Return postcard.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0494 of Gates & Cooper. A duplicate of this paper is enclosed.

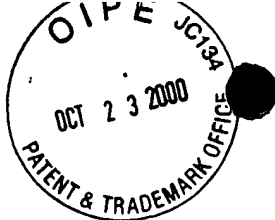
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**GATES & COOPER**  
Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, CA 90045  
(310) 641-8797

By: Victor G. Cooper  
Name: Victor G. Cooper  
Reg. No.: 39,641  
VGC/sjm

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shawn D. Abbott et al. Examiner: Not yet assigned  
Serial No.: 09/594,456 Group Art Unit: 2766  
Filed: June 15, 2000 Docket: G&C 30074.27-US-11  
Title: USB-COMPLIANT PERSONAL KEY USING A SMARTCARD PROCESSOR  
AND A SMARTCARD READER EMULATOR

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INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(d)(2), a copy of each document or other information listed on the enclosed Form 1449 is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in parent application, U.S. Serial No. 09/281,017 filed on March 30, 1999.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to

establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.


Respectfully submitted,

Shawn D. Abbott et al.

By their attorneys,

GATES & COOPER  
6701 Center Drive West, Suite 1050  
Los Angeles, California 90045  
(310) 641-8797

Date: October 17, 2000

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